

EI-PO8 Sexual Misconduct Policy

FOR IMMEDIATE REPORTING:

Michelle Willbanks, Title IX Coordinator
Student and Administration Building 841 W. Mitchell St.
Arlington, TX 76019 / 817-272-4585 / titleix@uta.edu
<https://www.uta.edu/eos-title-ix/title-ix>

Report online:

https://cm.maxient.com/reportingform.php?UnivofTexasArlington&layout_id=11

See Section 3.7 below for more detailed information.

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1. Title

Sexual Misconduct Policy

2. Policy

Sec. 1 General Policy Statement.

1.1 The University of Texas at Arlington (the University) is committed to maintaining a learning and working environment that is free from discrimination based on sex in accordance with Title IX of the Higher Education Amendments of 1972 (Title IX), which prohibits discrimination on the basis of sex in educational programs or activities; Title VII of the Civil Rights Act of 1964 (Title VII), which prohibits sex discrimination in employment; and the Campus Sexual Violence Elimination Act (SaVE Act), Violence Against Women Act (VAWA), and Clery Act. Sexual Misconduct, Retaliation, and other prohibited conduct under this Policy will not be tolerated and will be subject to disciplinary action.

1.2 The University will promptly discipline any individuals or organizations within its control who violate this Policy. The University encourages individuals to promptly report incidents

that could constitute violations of this Policy to the Title IX Coordinator (as outlined in Section 3.1 of this Policy).

1.3 Free Speech. Freedom of speech and principles of academic freedom are central to the mission of institutions of higher education. Constitutionally protected expression cannot be considered Sexual Misconduct under this Policy.

Sec. 2 Applicability. This Policy applies to all University administrators, faculty, staff, students, and other individuals within the University's control, including visitors and applicants for admission or employment the ("University Community"). This Policy applies to conduct:

- that occurs on University owned or controlled premises,
- in an education program or activity including University sponsored or supported events,
- buildings owned or controlled by student organizations officially recognized by the University, and
- on or off campus when the conduct potentially affects a person's education or employment with the University or potentially affects a person's education or employment with the University or potentially poses a risk of harm to members of the University community. This Policy also applies regardless of the gender, gender identity or sexual orientation of the parties.

Sec. 3 Reporting Incidents.

3.1 General Statement Empowering Community. This Policy distinguishes between *reporting* sexual misconduct incidents and *filing Formal Complaints*. Reporting Sexual Misconduct incidents informs the University of the incident, which allows the institution to provide Supportive Measures (as outlined in Section 5.2 of this Policy) to the Complainant and does not necessarily result in the initiation of the Grievance Process (as outlined in Section 6 of this Policy). All Complainants who report incidents of Sexual Misconduct will be offered individualized Supportive Measures. If Complainants wish to initiate the Grievance Process, they must file a Formal Complaint. As explained in more detail below (including exceptions and details as to applicability), generally speaking, the Grievance Process may involve an investigation into the incident and a hearing to determine whether there is sufficient evidence to demonstrate the Respondent violated this Policy.

All Members of the University Community and third parties are strongly encouraged to immediately report any incidents of sexual misconduct to the Title IX Office.

3.2 Any person (alleged incident victim or other) may report Sexual Misconduct, Retaliation, or other conduct prohibited under this Policy to the Title IX Coordinator (below). The report may be verbal or written.

Michelle Willbanks
Student and Administration Building
841 W. Mitchell St.

Arlington, TX 76019

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817-272-4585

(Link to Reporting Form)

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- A. Filing a Formal Complaint. The Complainant may file a Formal Complaint with the Title IX Coordinator, as outlined in Section 6.2 of this Policy.
- B. Anonymity. Individuals may make an anonymous report by telephone, in writing or electronically at <https://www.uta.edu/eos-titleix/title-ix> with the Title IX Office. The decision to remain anonymous, however, may greatly limit the University's ability to stop the alleged conduct, collect evidence, or take action against parties accused of violating this Policy.
- C. Confidentiality. Individuals may discuss an incident in strict confidence by using the confidential resources outlined in Section 3.5 of this Policy.
- D. Timeliness of Reporting. Responsible Employees (mandatory reporters) are required to report known incidents and information of Sexual Misconduct promptly to the Title IX Coordinator. Others in the University community are strongly encouraged to report Sexual Misconduct, Retaliation, and any other conduct prohibited under this Policy, as soon as they become aware of such conduct.

3.3 Reporting to Law Enforcement. Individuals are encouraged to promptly report crimes to law enforcement, but it is not required. Police jurisdiction depends on where the Sexual Misconduct occurred. If the Sexual Misconduct occurred on the University campus, individuals may file a report with the UTA Police Department ("UTAPD") at (817) 272-3381 (non-emergency), or (817) 272-3003 (emergency), or in person at 700 S. Davis Street, Arlington, Texas 76019. If the Sexual Misconduct occurred off campus and within the city limits of Arlington, individuals may file a report with the City of Arlington Police Department (817) 274-4444 (non-emergency) or 911 (emergency). If the Sexual Misconduct occurred elsewhere, individual should contact local law enforcement authorities. If a report is made by phone, a uniformed officer may be dispatched to the complainant's location to take a written report. The Title IX Office can help individuals contact these law enforcement agencies. Employees and students with protective or restraining orders relevant to a complaint are encouraged to provide a copy to the University Police Department.

3.4 Reporting to Outside Entities. Complainants may also contact the following external agencies:

For students:

Office for Civil Rights

U.S. Department of Education
1999 Bryan Street, Suite 1620
Dallas, TX 75201-6810
214-661-9600
214-661-9587 (fax)

For employees:

U.S. Equal Employment Opportunity Commission
Dallas District Office
207 S. Houston Street, 3rd Floor
Dallas, TX 75202
Phone: (800) 669-4000
FAX: (214) 253-2720

Texas Workforce Commission
Civil Rights Division
101 E. 15th Street
Room 144-T
Austin, TX 78778-0001
512-463-2642

3.5 Confidential Support and Resources. The law permits specific professions to maintain a Title IX report confidential. Students may discuss an incident with a Confidential Employee, as defined herein, without concern that the person's identity will be reported to the Title IX Office, although Confidential Employees are required to report non-personal identifying information about the incident to the Title IX Coordinator. Employees may also seek assistance from the Employee Assistance Program (EAP), their own personal health care provider, the clergy person of their choice, or an off-campus rape crisis resource and the incident may remain confidential and not be reported to the Title IX Office. More information regarding such services can be located at the following websites: www.uta.edu/rvsp/resources/important-numbers.php; www.uta.edu/hr/employee-assistance/.

3.6 Immunity. In an effort to encourage reporting of Sexual Misconduct, the University may grant immunity from student and/or employee disciplinary action to a person who acts in good faith in reporting an incident, filing a Formal Complaint, or participating in a Grievance Process (e.g. investigation, hearing, appeal). This immunity does not extend to the person's own violations of this Policy or a failure to report as required by this Policy.

3.7 Title IX Coordinator and Deputy Coordinators. The Title IX Coordinator and Deputy Coordinators are:

Title IX Coordinator:

Michelle Willbanks

841 W. Mitchell St., Student and Administration Building Arlington, Texas 76019-0132

Telephone: (817) 272-4585

titleix@uta.edu

Title IX Deputy Coordinator for Staff, Visitors, and Contractors:

Eddie Freeman
Executive Director, Human Resources
1225 W. Mitchell St., J.D. Wetzel Building
Arlington, Texas 76019-0132
Telephone: (817) 272-2106
efreeman@uta.edu

Title IX Deputy Coordinator for Faculty:

Antoinette (Toni) Sol, Ph.D.
Vice Provost for Faculty Affairs
701 W. Nedderman Dr., Davis Hall
Arlington, Texas 76019
Telephone: (817) 272-5243
amsol@uta.edu

Title IX Deputy Coordinator for Students:

Heather Snow, Assistant Vice President for Student Affairs
300 W. First Street, University Center, Suite B120
Arlington, TX 76019-0132
Telephone: (817) 272-2354
hsnow@exchange.uta.edu

Title IX Deputy Coordinator for Intercollegiate Athletics:

Debbie Garcia, Executive Senior Associate AD
601 Spaniolo Drive
Arlington, TX 76019
Telephone: (817) 272-2047
rane@uta.edu

Sec. 4. Parties' Rights Regarding Confidentiality, Requests to Not Investigate, and Requests to Dismiss Formal Complaints. The University has great respect for the privacy of the parties identified in a report or Formal Complaint. Under Texas law, however, Responsible Employees who receive information of alleged Sexual Misconduct must share that information with the Title IX Coordinator. As such, the University may need to act to maintain campus safety and must determine whether to investigate further, regardless of the Complainant's request for confidentiality or request to not investigate a report received by the Title IX Coordinator.

In making determinations regarding requests for confidentiality, Complainants' requests to not investigate, Complainants' requests to dismiss Formal Complaints, and/or requests to not disclose identifying information to Respondents, the Title IX Coordinator will weigh the rights, interests, and safety of the Complainant, the Respondent, and the campus community. Factors the University will consider when determining whether to investigate an alleged incident of Sexual Misconduct include, but are not limited to:

- The seriousness of the alleged incident;

- Whether the University has received other reports of alleged Sexual Misconduct by the alleged Respondent;
- Whether the alleged incident poses a risk of harm to others; and
- Any other factors the University determines relevant.

Under Texas law, if the Complainant requests in writing that the University not investigate a report, the University must inform the Complainant of the decision whether or not to investigate.

If the University dismisses a Formal Complaint (as outlined in Section 6.2(C) of this Policy), the University must provide the Complainant and Respondent a written notice of the dismissal and the reason(s) for the dismissal.

In the course of the Grievance Process, the University may share information only as necessary with people who need to know in compliance with the law, which may include but is not limited to the investigators, witnesses, Complainant, Respondent, parties' advisors, hearing officer, and the appeal official—if applicable. The University will take all reasonable steps to ensure there is no Retaliation against the parties or any other participants in the investigation or in any other part of the Grievance Process.

Sec. 5. Resources and Assistance.

5.1 Immediate Assistance. Resources are available to both the complainant and respondent in any complaint of Sexual Misconduct, as well as individuals that have been otherwise impacted or affected by Sexual Misconduct or a complaint.

- A. Healthcare. Individuals experiencing any form of sexual, domestic, or dating violence, are encouraged to seek immediate medical care. Also, preserving DNA evidence can be key to identifying the perpetrator in a sexual violence case. Victims can undergo a medical exam to preserve physical evidence with or without police involvement. If possible, this should be done immediately. If an immediate medical exam is not possible, individuals who have experienced a sexual assault may have a Sexual Assault Forensic Exam (SAFE) performed by a Sexual Assault Nurse Examiner (SANE) within 4 days of the incident. With the examinee's consent, the physical evidence collected during this medical exam can be used in a criminal investigation; however, a person may undergo a SAFE even without contacting, or intending to contact, the police. To undergo a SAFE, go directly to the emergency department of Texas Health Arlington Memorial Hospital (AMH) 800 West Randol Mill Road, Arlington, Texas 76012 817.960.6100 or John Peter Smith Hospital (JPS) 1500 South Main Street, Fort Worth, TX 76104 817.702.3431.or the nearest hospital that provides SAFE services. Individuals may be prescribed medication by their health provider to prevent sexually transmitted infections and/or pregnancy even if a SAFE is not performed or the police are not contacted.

For more information about the SAFE, see https://www.texasattorneygeneral.gov/files/cvs/sexual_assault_examination.pdf. The cost of the forensic portion of the exam is covered by the law enforcement agency that is investigating the assault or, in cases where a report will not be made to the police, the Texas Department of Public Safety. This does not include fees related to medical treatment that are not a part of the SAFE.

Medical care can be provided at University Health Services (for students only), at a local emergency room, or by a private physician. Students desiring medical services through the University should contact:

University Health Services
605 S. West Street
Arlington, TX 76019
(817) 272-2771
healthservices@uta.edu
<https://www.uta.edu/healthservices/>

- B. Counseling and Other Services. Counseling support is available to both parties in a complaint and can be provided by University Counseling and Psychological Services (students), a referral through the Employee Assistance Program (employees), or a care provider of the individual's choosing.

Students desiring counseling through the University should contact:

Counseling and Psychological Services
303 Ransom Hall
Arlington, TX 76019
(817) 272-3671

212 Maverick Activities Center
Arlington, TX 76019
(817) 272-1888
<https://www.uta.edu/caps/services/psychological.php>

Counseling and Psychological Services – Psychiatry
605 W. 1st Street
Arlington, TX 76019
(817) 272-2771
<https://www.uta.edu/caps/services/psychiatric.php>

Faculty and staff desiring counseling through the University should contact:

Human Resources Employee Assistance Program
J.D. Wetsel Building, Room 212
1225 W. Mitchell St.
Arlington, TX 76019

(817) 272-5554

(800) 343-3822

<http://www.uta.edu/hr/employee-assistance/>

C. Police Assistance. If an individual experienced or witnessed sexual misconduct, the University encourages the individual to file a report with UTAPD as described in Section 3.3 of this Policy, even if time has passed since the misconduct occurred. Individuals may also file a report with UTAPD even if the accused was not a University student or employee. UTAPD may, in turn, share the report with the Title IX Office. Reporting sexual misconduct to law enforcement does not mean the case will automatically go to criminal trial or go through a Grievance Process. If UTAPD are called, an officer will generally be sent to the scene to take a written report. When appropriate, UTAPD may be able to assist the individual with a ride to the hospital or with obtaining other resources and services. If an individual qualifies, UTAPD will also be able to assist with applying for a Protective Order through the appropriate district or county attorney. A Protective Order is a civil court order issued to prevent further acts of family violence, sexual assault, or stalking. University community members who have Protective Orders are strongly encouraged to provide copies of their orders to the UTAPD.

5.2 Supportive Measures

The University offers reasonably available individualized services, without any fee or charge, to the parties involved in a reported incident of Sexual Misconduct with or without the filing of a Formal Complaint, when applicable.

Supportive Measures may include but are not limited to reassignment, suspension, counseling, extensions of deadlines or other course-related adjustments, modifications of work or class schedules, withdrawal from or retake of a class without penalty, campus escort services, mutual restrictions on contact between the parties, change in work or housing locations, leaves of absences, increased security as well as monitoring of certain areas of campus or other similar measures tailored to the individualized needs of the parties.

Supportive Measures are non-disciplinary and non-punitive measures that do not unreasonably burden the other party. Any disciplinary or punitive measures may only be implemented following the conclusion of the Grievance Process, unless an Emergency Removal (as outlined in Section 7.1) is appropriate.

The University will maintain the confidentiality of Supportive Measures provided to the parties, to the extent that maintaining such confidentiality does not impair the ability of the University to provide the Supportive Measures.

The University's ability to implement Supportive Measures may be affected if the Complainant requests that the University not disclose the Complainant's

identity to relevant University personnel involved in implementing Supportive Measures.

Sec. 6 The Grievance Process

6.1 Key Officials in the Grievance Process.

A. Title IX Coordinator. The Title IX Coordinator is the senior University administrator who oversees the University's compliance with Title IX. The Title IX Coordinator is responsible for overseeing the administrative response to reports and Formal Complaints of Sexual Misconduct, Retaliation, and other conduct prohibited under this Policy. The Title IX Coordinator is available to discuss the Grievance process, coordinate Supportive Measures, explain University policies and procedures, and provide education on relevant issues. The Title IX Coordinator may delegate any responsibilities under this Policy to Deputy Title IX Coordinators, or assign other designees any responsibilities under this Policy, as necessary, in the best interest of the University.

Any member of the University community may contact the Title IX Coordinator with questions.

B. Investigators. The University will ensure that Formal Complaints are properly investigated under this Policy by investigators assigned to the Formal Complaint. The investigators are neutral and impartial factfinders that gather relevant evidence during an investigation. The investigators are responsible for completing an investigation report at the conclusion of the investigation. The Title IX Deputy Coordinators may supervise and advise the Title IX investigators when conducting investigations and update the Title IX Coordinator as necessary to help ensure compliance with Title IX.

C. Hearing Officer. The hearing officer is responsible for conducting the hearing in an orderly manner, controlling the conduct of all participants and attendees of the hearing, and rendering a written determination regarding responsibility of the Respondent's alleged conduct in an impartial, neutral, and objective manner.

6.2 Formal Complaints Against Students and Employees¹

A. Applicability of the Grievance Process. The Grievance Process in this Policy applies to the following situations:

1. Students. The Grievance Process in Sections 6.2 to 6.11 of this Policy applies in the instances where the Respondent is a student (including student employees)² at the University at the time of the

¹ For Formal Complaints against third parties, such as contracted workers, volunteers, or visitors, the University will apply the analysis in Section 6.2(A)(2) with regard to employees and may apply other institutional policies to those Respondents if the Grievance Process (outlined in this Policy) does not apply.

² Respondents who are both students and employees are treated as students under this Policy.

alleged conduct and where the conduct alleged includes Sexual Harassment. An alternative Grievance Process in Section 6.12 of this Policy applies in instances where the Respondent is a student at the time of the alleged conduct and where the conduct alleged does not include Sexual Harassment.

2. Employees: (Faculty and Staff). For employees, the Grievance Process in this Policy only applies to situations where all of the following conditions are met; in all other instances, allegations of Sexual Misconduct will be handled in accordance with other applicable University policies.
 - a. The Respondent is an employee at the University at the time of the alleged conduct;
 - b. The conduct alleged is Sexual Harassment as defined in this Policy;
 - c. The alleged conduct occurred against a person in the United States; and
 - d. The alleged behavior meets the requirements of Section 2 above.
- B. To begin the Grievance Process, the Complainant must sign a Formal Complaint (requesting an investigation) and submit it to the Title IX Coordinator. The Complainant must submit a written statement which includes details of the alleged conduct that is the subject of the Formal Complaint, including the following:
- Complainant's name and contact information;
 - Respondent's name;
 - Detailed description of the alleged conduct or event that is the basis of the alleged violation under this Policy;
 - Date(s) and location(s) of the alleged occurrence(s);
 - Names of any witnesses to the alleged occurrence(s); and
 - The resolution sought.

The Complainant may also submit any documents or information that is relevant to the Formal Complaint.

The Title IX Coordinator may also sign a Formal Complaint against a Respondent (requesting an investigation) when there is sufficient evidence of Sexual Misconduct but no Complainant. This will initiate the Grievance Process.

C. Mandatory and Discretionary Formal Complaint Dismissals.

a. Under Title IX regulations, universities are required to distinguish between prohibited conduct that is a “violation of Title IX” and other and other prohibited sexual conduct that is a violation of university policy. Under Title IX, the University must dismiss a Formal Complaint or the art of the allegations in a Formal Complaint, if applicable, when Sexual Harassment is alleged and:

- The conduct alleged does not meet the definition of Sexual Harassment;
- The alleged conduct did not occur in the University’s education program or activity; or
- The alleged conduct did not occur against a person in the United States.

In such an instance there will not be a “violation of Title IX”. However, that does not mean that this Policy or other UTA policies have not been violated. The University may still investigate a Formal Complaint for allegations of Sexual Harassment under this Policy; it will just be a violation of this policy and not a “violation of Title IX”.

b. The University may dismiss a Formal Complaint, at its discretion, under this Policy’s Grievance Process for any of the following circumstances:

- If the Complainant requests in writing to dismiss a Formal Complaint (e.g. withdraws the Formal Complaint or any allegations therein), as outlined in Section 4 of this Policy;
- If the Respondent is an employee and no longer employed by the University at the time the Formal Complaint is filed, or is a student and no longer enrolled at the University;
- Any specific circumstances that prevent the University from gathering evidence sufficient to reach a determination as to the Formal Complaint or any allegations therein; or
- The conduct alleged does not meet the definition of any prohibited conduct under this Policy.

c. If the University dismisses a Formal Complaint, the University must provide both parties a written notice of the dismissal and the reason(s) for the dismissal.

D. Concurrent Criminal or Civil Proceedings. The University has an independent duty to respond to Formal Complaints of Sexual Misconduct.

The University may wait for the outcome of a concurrent criminal or civil justice proceeding to act on a Formal Complaint in a University Grievance Process. At the University's discretion, the University may delay the investigation or Grievance Process for a brief period due to concurrent criminal or civil proceedings on a case-by-case basis.

E. Jeanne Clery Act Reporting. Pursuant to the Jeanne Clery Act, the University includes statistics about certain offenses in its annual security report, and provides those statistics to the United States Department of Education in a manner that does not include any personally identifying information about individuals involved in an incident. The Jeanne Clery Act also requires the University to issue timely warnings and emergency notifications to the University community about certain crimes that have been reported and which may continue to pose a serious or continuing threat to the University Community. Consistent with the Jeanne Clery Act, the University withholds the names and other personal identifiable information of complainants when issuing such notices.

6.3 Written Notice of the Formal Complaint, and Notification of University Offices Offering Assistance. After receiving a Formal Complaint, the Title IX Office will provide a written notice to the parties of the Formal Complaint and available University resources and assistance. The written notice of the Formal Complaint will include the following:

- A notice of the Grievance Process, as outlined in this Policy;
- A notice of the allegations that potentially constitute prohibited conduct under this Policy, including sufficient details about the alleged conduct, including the identity of the parties, if known, and the date(s), time(s), and location(s) of alleged conduct known by the University at the time of the Formal Complaint;
- A statement of the potential policy violations being investigated;
- A statement that the Respondent is presumed not responsible for the alleged conduct and that the determination regarding responsibility will be made at the conclusion of the Grievance Process;
- Both parties may have an advisor of their choice, who may be, but is not required to be, an attorney, and may inspect and review all evidence;
- A statement that the parties may review evidence gathered as part of any investigation;
- A statement that knowingly making false statements or knowingly submitting false information during the Grievance Process is prohibited and subject to disciplinary action; and
- Any other relevant information for the written notice.

6.4 Informal Resolution Option of Certain Formal Complaints.

After the parties have been provided a copy of the written notice of a Formal Complaint, the parties may, in writing, voluntarily agree to use this Informal Resolution option, if applicable, at any point prior to reaching a determination regarding responsibility. The Informal Resolution Option is only applicable in certain situations and often involves forgoing all or parts of the Grievance Process (including the investigation and hearing, depending on when the parties agree to engage in an Informal Resolution Option). In many cases the Informal Resolution Option involves a mediation process between the parties involved.

At any point prior to agreeing to an Informal Resolution Option, the parties involved have the right to withdraw from the Informal Resolution Option process and resume the Grievance Process with respect to the Formal Complaint.

- A. Informal Resolution Option Availability. The Informal Resolution process is not permitted in cases where Sexual Harassment is alleged in the Formal Complaint. The Informal Resolution Option is also not available where the Respondent has previously participated in the Informal Resolution Option process and where that process resulted in a mutual agreement.
- B. Informal Resolution Timeframe. Informal Resolutions of a Formal Complaint will be concluded within 45 days of the written notice to the University that both parties wish to proceed with the Informal Resolution process. Such notice that the parties agreed to proceed with an Informal Resolution process will “pause” the counting of the timeframe to conclude the Grievance Process in Section 6.11 of this Policy, should the Informal Resolution process fail and the parties continue with the Grievance Process.
- C. Informal Resolution Documentation. Any final resolution pursuant to the Informal Resolution process will be documented and kept for seven years as required by law (and see Section 6.10 of this Policy for additional information on Grievance Process Documentation). However, no recording of the Informal Resolution process will be made. Additionally, all statements made during the Informal Resolution process may not be used for or against either party. Importantly, the Hearing Officer and appeal official may not consider any such statement made during Informal Resolution) should the parties resume the Grievance Process. Failure to comply with an Informal Resolution Option agreement may result in disciplinary action.

6.5 Investigation of the Formal Complaint – Gathering of Evidence

- A. After the University provides written notice of a Formal Complaint to the parties, the Respondent will be allowed up to seven calendar days to respond in writing and through an interview with the investigator.

- B. The University will provide written notice to a party whose participation is invited or expected of the date, time, location, participants, and purpose of all meetings, investigative interviews, or other proceedings in the Grievance Process.
- C. Evidence. The parties in the investigation may present any information and evidence that may be relevant to the Formal Complaint, and may have an advisor of their choice attend any related interview, meeting, or proceeding in the Grievance Process. Advisors are not permitted to actively participate in meetings or proceedings in the Grievance Process, unless explicitly outlined in Section 6.7(J) of this Policy. The parties may present the names of any fact or expert witnesses who may provide relevant information, and how the witnesses may be relevant to the Formal Complaint. The parties may submit to the investigator any questions they would like asked of any known potential witnesses or parties.
- D. Witness Interviews. The investigators will interview relevant and available witnesses. Neither the Complainant nor the Respondent will normally attend these interviews; however, if either one is permitted to attend, the other shall have the same right.
- E. Investigation Timeframe. The investigation of a Formal Complaint will be concluded as timely as possible (generally within 120 days or less of the filing of the formal complaint) depending on the nature of the allegations, number of witnesses, volume of evidence, and other factors. The parties will be provided updates on the progress of the investigation, as needed.
- F. Access to Evidence. Prior to the completion of the investigation report, the investigators will provide access to all evidence obtained (whether relevant or not) as part of the investigation to both parties (and the party's advisor, if any, upon a party's signed information release for their advisor of choice). Both parties will have 10 days to inspect, review, and respond to the evidence. All responses to the evidence must be submitted by the party in writing to the investigator. Advisors are not permitted to submit written responses to the evidence on their own or on behalf of the party they are advising. The investigators will consider all timely responses submitted by the parties.
- G. Completed Investigation Report. The completed investigation report will outline each of the allegations prohibited under this Policy, provide the timeline (e.g. procedural steps) of the investigation, and summarize relevant evidence, participant statements, and responses to questions. The investigator will provide a completed investigation report concurrently to both parties and each party's advisor, if any, upon a party's signed information release for their advisor of choice at least 10 days prior to the date of the scheduled hearing. A copy of the completed investigation report will be issued to the Title IX Coordinator, and to the hearing officer assigned.

6.6 Standard of Evidence & Presumption of Not Responsible. All Grievance Processes will use the preponderance of the evidence standard, as defined in this Policy. By law, it is presumed that the Respondent is not responsible for the alleged conduct unless that determination regarding responsibility is made at the conclusion of the Grievance Process.

6.7 Live Hearing – Determination of Responsibility

- A. Absent dismissal of a Formal Complaint or the parties' decision to reach an Informal Resolution Option agreement (if applicable), the University will provide a live hearing for all Formal Complaints subject to the Grievance Process as outlined in this Policy.
- B. Written Notice of the Hearing. The University will provide at least 10 days written notice to participants of the hearing (and the participant's advisor, if any, upon a participant's signed information release for their advisor of choice), including the date, time, location, names of all participants of the hearing (including the hearing officer, and all parties and participants in the investigation report), purpose of the hearing, a statement of the alleged conduct charges, and a summary statement of the evidence gathered.
- C. Challenges to the Hearing Officer. Either party may challenge the fairness, impartiality or objectivity of a hearing officer. The challenge must be submitted in writing to the Hearing Officer through the office coordinating the hearing within 4 days after notice of the identity of the hearing officer and must state the reasons for the challenge. The Hearing Officer will be the sole judge of whether he or she can serve with fairness, impartiality, and objectivity. In the event that the hearing officer recuses themselves, an alternative hearing officer will be assigned in accordance with the University's procedures.
- D. Hearing Officer Duties at the Hearing. The Hearing Officer will rule on all procedural matters, on objections regarding exhibits and testimony of participants at the hearing, may question participants who testify at the hearing, and is entitled to have the advice and assistance of legal counsel from University's Office of Legal Affairs or the Office of General Counsel of the U.T. System.
- E. Access to Evidence. Each party will have access to all of the evidence from the investigation, including a copy of the completed investigation report, as outlined in Section 6.5.F. in this Policy.
- F. Separate Rooms and Virtual Participation. At the request of either party, the University will allow the hearing to occur with the parties located in separate rooms with technology enabling the hearing officer and the parties to simultaneously see and hear the participants answering questions. Participants may appear at the hearing virtually, and are not required to be physically present at the same physical location of the hearing.
- G. Each party may make opening and closing statements.

- H. Privileged Information Excluded. No person will be required to disclose information protected under a legally recognized privilege. The Hearing Officer must not allow into evidence or rely upon any questions or evidence that may require or seek disclosure of such information, unless the person holding the privilege has waived the privilege. This includes information protected by the attorney-client privilege.
- I. Advisor of Choice. Each party may have an advisor of their choice at the hearing. If a party does not have an advisor, the University will provide one. Advisors are not permitted to actively participate in the hearing, except for asking questions of the other party and any other witnesses. In addition, witnesses may have an advisor of their choice at the hearing.
- J. Questioning of the participants in the hearing:³ The Hearing Officer may, at the Hearing Officer's discretion, ask questions during the hearing of any party or witness and may be the first person to ask questions of any party or witness. Each party's advisor will have an opportunity to ask relevant questions and follow-up questions of the other party and of any witnesses that participate in the hearing, including questions that challenge credibility. Each advisor has the ability to ask questions directly, orally, and in real time at the hearing. The parties will not be permitted to personally ask questions of the other party or any witnesses that participate in the hearing. The advisors may ask questions under the following procedure:
- The advisor will ask a question of the applicable participant.
 - Before the participant answers a question, the hearing officer will rule as to whether the advisor's question is relevant to the alleged conduct charges.
 - If the hearing officer rules the advisor's question as not relevant, then the hearing officer must explain any decision to exclude a question as not relevant. If the hearing officer allows the question as relevant, the participant must answer it.

Prior Sexual History: A Complainant's sexual predisposition or prior sexual behavior are not relevant except where questions and evidence about a Complainant's prior sexual behavior are offered to prove that someone other than the Respondent committed the alleged conduct charged by the Complainant or if the questions or evidence concern specific incidents of the Complainant's prior sexual behavior with the Respondent and are offered to prove the Complainant's consent of the alleged conduct.

Not submitting to cross-examination: If a party or witness refuses to submit to any cross-examination questions during the hearing, the hearing officer will not rely on any statement of that party or witness, when reaching a

³ Subsection 6.7(J) does not apply when a hearing is conducted under Section 6.12(C) of this Policy.

responsibility determination. The hearing officer will not draw an inference about the determination regarding responsibility based solely on a party's or witness's absence from the hearing or refusal to answer questions.

K. Hearing Officer Determination. The Hearing Officer issues a written determination, which will include the following:

- The allegations that potentially constitutes prohibited conduct under this Policy;
- A description of all of the procedural steps of the Grievance Process under this Policy (from receipt of a Formal Complaint to the determination regarding responsibility of the Respondent, including any notifications of the parties, interviews with parties and witnesses, site visits, methods used to gather other evidence, and hearings held);
- The findings of fact supporting the hearing officer's determination;
- The conclusion(s) and rationale as to whether the Respondent is responsible for each allegation;
- The disciplinary sanctions, if applicable;
- The remedies, if applicable, designed to restore the Complainant's access to the education program or activity; and
- The procedures and permissible bases for the parties to appeal under Section 6.9 of this Policy, if applicable.

The Hearing Officer will send a copy of the written determination to the Office of Community Standards (for student Respondents) which will then send notice concurrently to the parties; or concurrently to the parties, in addition to the appropriate administrator and Human Resources (for employee Respondents), and the Title IX Coordinator.

L. The hearing will be recorded in audio or audiovisual format and may be transcribed at the discretion of the University. The recording or transcript, if applicable, will be available for the parties to inspect and review, upon request.

6.8 Sanctions and Remedies. The following sanctions and remedies may be considered by the Hearing Officer in accordance with this Policy:

A. Possible Sanctions and Remedies for Student Respondents:

- Educational training;
- No shared classes or extra-curricular activities;

- Disciplinary probation;
- Withholding of grades, official transcript, and/or degree;
- Bar against readmission, bar against enrollment, drop from one or more classes, and/or withdrawal from the University;
- Suspension of rights and privileges, including but not limited to participation in athletic or extracurricular activities;
- Denial of degree;
- Suspension from the University for a specific period of time. Suspension is noted on the academic transcript with the term "Disciplinary Suspension." The notation can be removed upon the request of the student in accordance with the University's procedures when all conditions of the suspension are met;
- Expulsion (permanent separation from the University). Expulsion creates a permanent notation on the student's academic transcript;
- Revocation of degree and withdrawal of diploma; and/or
- Other sanction(s) or remedies as deemed appropriate under the circumstances.

B. Possible Sanctions and Remedies for Employee Respondents:

- Employment probation;
- Verbal or written warning;
- Job demotion or reassignment;
- Suspension with or without pay for a specific period of time;
- Dismissal or termination;
- Ineligible for rehire; and/or
- Other sanction(s) or remedies as deemed appropriate under the circumstances

6.9 Appeals and Additional Processes Provided to Students and Employees.

Appeals. Either party may appeal a Hearing Officer's determination regarding a Respondent's responsibility under the Grievance Process or from the University's dismissal of a Formal Complaint (or any allegations in the Formal

Complaint) by submitting a written appeal to the Title IX Coordinator within 10 days of notification of such a determination, on the following bases:

- A procedural irregularity that affected the outcome of the matter;
- New evidence that was not reasonably available at the time of the determination regarding responsibility or dismissal was made that could affect the outcome of the matter; or
- The Title IX Coordinator, investigator(s), or Hearing Officer had a conflict of interest or bias for or against the parties (generally, or specifically in this matter) that affected the outcome of the matter.

The appeal official must not be the same person as the Title IX Coordinator, investigator(s), or Hearing Officer in the Grievance Process. Both parties will be notified in writing when an appeal is filed and the appeal procedures will apply equally for both parties.

The non-appealing party (or the University) who will then have 7 days from the notification of an appeal to submit a written statement in support of the outcome. The Appeal Official will release a written decision within 21 days from the date of the appeal to the Title IX Coordinator who will send a copy to both parties. The decision may:

- Affirm the Hearing Officer's determination regarding the Respondent's responsibility and affirm the disciplinary sanctions and remedies, if applicable;
- Affirm the Hearing Officer's determination regarding the Respondent's responsibility and amend the disciplinary sanctions and remedies, if applicable;
- Remand the process back to the hearing stage for the hearing officer to remedy any procedural irregularity or consider any new evidence;
- Reverse the hearing officer's determination of the Respondent's responsibility and amend the disciplinary sanctions and remedies, if applicable; or
- Affirm or amend the sanctions and/or remedies outlined in the administrative disposition issued under Section 6.12 of this Policy.

6.10 Grievance Process Documentation. The University (through the appropriate office) will retain all of the documentation included in the Grievance Process (outlined in Section 6 of this Policy) for seven years, in accordance with state and federal records laws and University policy, with the exception of records which result in a student suspension or expulsion which will be permanent records. All documentation of records are private and confidential to the extent possible under law. Student records of the Grievance Process are disciplinary records under FERPA. Employee records of the Grievance Process are

subject to the Texas Public Information Act (TPIA), and are included in the employee's official employment record.

6.11 Grievance Process Timeframe. The entire Grievance Process (outlined in Section 6 of this Policy, including any appeal) will be completed in no more than 150 days from the filing of the Formal Complaint. However, the circumstances may require a temporary delay in this timeframe and the University may extend this timeframe for good cause. In such an instance, the University will provide written notice to the parties of the delay or extension and the reason(s) for the action. Good cause may include considerations such as the absence of a party, a party's advisor, or a witness; concurrent law enforcement activity; or the need for language assistance or accommodation of disabilities. The time period in this section does not include the period the parties attempted but failed to reach an agreement in the Informal Resolution Process, if applicable, and in such a case, the Grievance Process timeframe will be extended by the period the parties attempted to reach an Informal Resolution (outlined in Section 6.4 of this Policy).

6.12 Alternative Grievance Process for Students – Applicable Exceptions for Non-Sexual Harassment Formal Complaints

For Formal Complaints where the Respondent is a student at the time of the alleged conduct (including student employees), and the alleged conduct does not include Sexual Harassment, the Grievance Process in Section 6 of this Policy applies, with the following exceptions:

A. Investigation Report & Determination Regarding Responsibility. Section 6.5(G) applies except that the completed investigation report will include a preliminary determination regarding the responsibility of the Respondent for each allegation, the findings of fact supporting the investigator's determination, and the rationale for the determination for each allegation. The completed investigation report and determination regarding responsibility will be referred to the Office of Community Standards.

The Office of Community Standards Officer will conduct an independent review of the investigation report, and will:

- Accept the preliminary determination regarding responsibility of the Respondent, and either dismiss the case or proceed to adjudication (if applicable);
- Amend the preliminary determination regarding responsibility of the Respondent, and proceed to adjudication (if applicable); or
- Remand the process back to the investigation stage to address an investigation concern.

B. Adjudication. Where responsibility finding(s) proceed to the adjudication stage, the Respondent and Complainant may elect one of the following options:

1. Agree to the determination of responsibility for each of the applicable allegations, the sanctions, and remedies outlined in an administrative disposition, and waive the option of a hearing;
2. Agree to the determination of responsibility for each of the applicable allegations, appeal (in writing) the sanctions and/or remedies outlined in the administrative disposition, and waive the option of a hearing; or
3. Select a live hearing where the determination regarding responsibility of the Respondent will be made by a hearing officer.

If either party chooses option 3, then a live hearing must be initiated for the adjudication of the conduct allegations, as outlined in Section 6.12(C).

Absent either party choosing option 3 (live hearing), if either party chooses option 2, then any party choosing option 2 may appeal the sanctions and/or remedies outlined in the administrative disposition, using the Appeals process in Section 6.9 of this Policy. The finding of responsibility may not be appealed by either party.

If both parties select option 1, then the administrative disposition will be final and there will not be any subsequent adjudication proceedings regarding the allegations.

C. Live Hearing. If a live hearing is selected for adjudication, the hearing procedures in Section 6.7 of this Policy will apply.

Sec 7 Emergency Removal and Employee Administrative Leave.

7.1 Emergency Removal. A Respondent may be removed from the University's education program or activity on an emergency basis if, after an individualized safety and risk analysis, it is determined that such a removal is justified because the Respondent poses an immediate threat to the physical health or safety of an individual arising from the allegations of Sexual Misconduct. Under these circumstances, the Respondent will be notified in writing of the emergency removal from the University's education program or activity, and the Respondent will have an opportunity to immediately challenge the decision following the emergency removal in accordance with the University's Interim Disciplinary Action process contained in its Code of Student Conduct and Discipline.

7.2 Employee Administrative Leave. An employee Respondent may be placed on administrative leave, in accordance with the University's policy and procedures on employee administrative leave, during the pendency of a Grievance Process, as outlined in this Policy.

Sec 8. Dissemination of Policy and Educational Programs.

- 8.1 This Policy will be made available to all University administrators, faculty, staff, and students online at <https://www.uta.edu/eos-title-ix/title-ix>, and in University student catalog(s) and any employee handbook of operating procedures.. Periodic notices will be sent to University administrators, faculty, staff and students regarding this Policy. The notice will include information about Sexual Misconduct, Retaliation, and other conduct prohibited under this policy, including the Formal Complaint procedure, the University Grievance Process, and available resources, such as support services, health, and mental health services. The notice will specify the right to file a Formal Complaint under this Policy, right to file a police report to law enforcement, the Title IX Coordinator's contact information, and will refer individuals to designated offices or officials for additional information.
- 8.2 Ongoing Sexual Misconduct Training. The University's commitment to raising awareness of the dangers of Sexual Misconduct includes providing education through annual training and lectures by faculty, staff, mental health professionals, and/or trained University personnel. Preventive education and training programs will be provided to University administrators, faculty, staff, and students and will include information about primary prevention, risk reduction, and bystander intervention.
- 8.3 Training of Title IX Coordinators, Investigators, Hearing Officers and Appeal Officials. All Title IX Coordinators, Deputy Coordinators, investigators, and those with authority over University Grievance Processes and appeals shall receive training each academic year about applicable prohibited conduct, Grievance Processes, due process, and University policies related to Sexual Misconduct. All training materials used to train Title IX-related personnel (e.g. Title IX Coordinators, deputies, investigators, hearing officers, and appeal officials (among others)) will be made available on the University's Title IX website: <https://www.uta.edu/eos-title-ix/title-ix>.
- 8.4 Annual Reporting and Notice. The University's Title IX General Policy Statement will be made available to all students, faculty, and employees online <https://www.uta.edu/eos-title-ix/title-ix>, in required publications and in specified departments.

Sec. 9. Additional Conduct Violations under this Policy.

- 9.1 Retaliation. Any person who retaliates against (a) anyone filing a report of Sexual Misconduct or a Formal Complaint, (b) the parties or any other participants (including any witnesses or any University employee) in a Grievance Process relating to a Formal Complaint, (c) any person who refuses to participate in a Grievance Process, or (d) any person who under this Policy opposes any unlawful practice, is subject to disciplinary action up to and including dismissal or separation from the University. If any participant in a Grievance Process believes they have been subject to Retaliation (as defined

in this Policy), they should immediately report the alleged retaliatory conduct to the Title IX Coordinator.

9.2 False Information and False Complaints. Any person, who in bad faith, knowingly files a false complaint under this Policy or provides materially false information is subject to disciplinary action up to and including dismissal or separation from the University. A finding that a respondent is not responsible for the Sexual Misconduct alleged does not imply a report, Formal Complaint, or information provided was false. Similarly, a determination that a Respondent is responsible for a policy violation does not imply that a Respondent's statements disclaiming responsibility were false.

9.3 Interference with the Grievance Process. Any person who interferes with the Grievance Process (outlined in Section 6 of this Policy) is subject to disciplinary action up to and including dismissal or separation from the University. Interference with a Grievance Process may include, but is not limited to:

- (a) Attempting to coerce, compel, or prevent an individual from providing testimony or relevant information;
- (b) Removing, destroying, or altering documentation relevant to the Grievance Process ; or
- (c) Knowingly providing false or misleading information to the Title IX Coordinator, investigator or hearing officer, or encouraging others to do so.

9.4 Failure to Report for Responsible Employees. Under Texas law, if a Responsible Employee knowingly fails to report all information concerning an incident the employee reasonably believes constitutes stalking, dating violence, sexual assault, or sexual harassment committed by or against a student or employee at the time of the incident, the employee is subject to disciplinary action, including termination.

For purposes of Failure to Report, the definition of sexual harassment, as defined under Texas law, is broader than the definition of sexual harassment under this Policy and is defined as: Unwelcome, sex-based verbal or physical conduct that:

- (a) in the employment context, unreasonably interferes with a person's work performance or creates an intimidating, hostile, or offensive work environment; or
- (b) in the education context, is sufficiently severe, persistent, or pervasive that the conduct interferes with a student's ability to participate in or benefit from educational programs or activities at a postsecondary institution.

In the event a student discloses Sexual Misconduct as part of an academic assignment, the professor or employee grading the assignment is only required to report the incident to the Title IX Coordinator if the student was enrolled at the University at the time of the alleged incident. Due to the

academic nature of course assignments, unless it is reasonably clear from the paper that the student was enrolled at the time of the incident, it is not required to be reported to the Title IX Coordinator.

9.5 No Effect on Pending Personnel or Academic Actions Unrelated to the Complaint. The filing of a Formal Complaint under this Policy will not stop or delay any action unrelated to the Formal Complaint, including: (1) any evaluation or disciplinary action relating to a complainant whose performance is not meeting acceptable standards or who has violated University rules or policies; (2) any evaluation or grading of students participating in a class, or the ability of a student to add/drop a class, change academic programs, or receive financial reimbursement for a class; or (3) any job-related functions of a University employee. Nothing in this section shall limit the University's ability to take any interim action or execute an emergency removal.

9.6 NIH/NSF Reporting. The University will comply with mandatory reporting requirements to third party agencies, including but not limited to the National Institute of Health and National Science Foundation. In the event a faculty member is the Respondent in a Formal Complaint, the Title IX Coordinator will notify UTA's Research Integrity Officer to permit any required third party notifications.

3. Definitions and Examples⁴

Coercion – The use of pressure to compel another individual to initiate or continue sexual activity against an individual's will. Coercion can include a wide range of behaviors, including psychological or emotional pressure, physical or emotional threats, intimidation, manipulation, or blackmail that causes the person to engage in unwelcome sexual activity. A person's words or conduct are sufficient to constitute coercion if they eliminate a reasonable person's freedom of will and ability to choose whether or not to engage in sexual activity. Examples of coercion include but are not limited to threatening to "out" someone based on sexual orientation, gender identity, or gender expression; threatening to harm oneself if the other party does not engage in the sexual activity; and threatening to expose someone's prior sexual activity.

Complainant – The individual who is alleged to be the victim of any prohibited conduct under this Policy

Confidential Employees – Confidential Employees include counselors in Counseling and Psychological Services (CAPS), a health care provider in Health Services, UTA Crime Victim Services, and the RVSP Student Advocate. Additionally, employees who receive information regarding an incident of Sexual Misconduct under circumstances that render the employee's communications confidential or privileged under other law (such as attorneys) are also considered "Confidential Employees."

⁴ The definitions provided in the main body of the text are the definitions adopted by the University. When applicable, we have included the Texas law definition. In any criminal action brought by law enforcement, the Texas law definition will apply.

Note: Under Texas law, Confidential Employees who receive information regarding incidents of sexual harassment, sexual assault, dating violence or stalking committed by or against a student or an employee of the University, are required to report the **type of incident** to the Title IX Coordinator (or Deputy Coordinators). Confidential Employees may not include any information that would violate a student's expectation of privacy. The Confidential Employee's duty to report an incident under any other law also applies.

Consent – A voluntary, mutually understandable agreement that clearly indicates a willingness to engage in each instance of sexual activity. Consent to one act does not imply consent to another. Consent to engage in sexual activity with one person does not imply consent to engage in sexual activity with another. Consent can be withdrawn at any time. Any expression of an unwillingness to engage in any instance of sexual activity establishes a presumptive lack of consent.

A current or previous dating or sexual relationship, by itself, is not sufficient to constitute consent. Even in the context of a relationship, there must be a voluntary, mutually understandable agreement that clearly indicates a willingness to engage in each instance of sexual activity.

Consent is not effective if it results from: (a) the use of physical force, (b) a threat of physical force, (c) intimidation, (d) coercion, (e) incapacitation or (f) any other factor that would eliminate an individual's ability to exercise his or her own free will to choose whether or not to have sexual activity.

The definition of consent for the crime of sexual assault in Texas can be found in Section 22.011(b) of the Texas Penal Code.⁵

Dating Violence⁶ – Violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim. The existence of such a

⁵ Texas Penal Code, Section 22.011(b) states that a sexual assault is without consent if: (1) the actor compels the other person to submit or participate by the use of physical force or violence; (2) the actor compels the other person to submit or participate by threatening to use force or violence against the other person, and the other person believes that the actor has the present ability to execute the threat; (3) the other person has not consented and the actor knows the other person is unconscious or physically unable to resist; (4) the actor knows that as a result of mental disease or defect the other person is at the time of the sexual assault incapable either of appraising the nature of the act or of resisting it; (5) the other person has not consented and the actor knows the other person is unaware that the sexual assault is occurring; (6) the actor has intentionally impaired the other person's power to appraise or control the other person's conduct by administering any substance without the other person's knowledge; (7) the actor compels the other person to submit or participate by threatening to use force or violence against any person, and the other person believes that the actor has the ability to execute the threat.

⁶ Dating Violence is defined by the Texas Family Code, Section 71.0021 as:

- (a) an act, other than a defensive measure to protect oneself, by an actor that:
- (1) is committed against a victim:
 - (A) with whom the actor has or has had a dating relationship; or
 - (B) because of the victim's marriage to or dating relationship with an individual with whom the actor is or has been in a dating relationship or marriage; and
 - (2) is intended to result in physical harm, bodily injury, assault, or sexual assault or that is a threat that reasonably places the victim in fear of imminent physical harm, bodily injury, assault, or sexual assault.
- (b) For purposes of this title, "dating relationship" means a relationship between individuals who have or have had a continuing relationship of a romantic or intimate nature. The existence of such a relationship shall be determined based on consideration of:
- (1) the length of the relationship;

relationship shall be determined by the victim based on the consideration of the following factors:

- a) The length of the relationship;
- b) The type of relationship; and
- c) The frequency of interaction between the persons involved in the relationship

Dating violence includes, but is not limited to, sexual or physical abuse or the threat of such abuse. It does not include acts covered under the definition of domestic violence.

Domestic (Family) Violence⁷ – includes felony or misdemeanor crimes of violence committed by a current or former spouse or intimate partner of the victim, by a person with whom the victim shares a child in common, by a person who is cohabitating with or has cohabitated with the victim as a spouse or intimate partner, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the state of Texas, or by any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the state of Texas.

Hostile Environment – exists when Sexual Misconduct is sufficiently severe or pervasive to deny or limit the individual's ability to participate in or benefit from an education program or activity or an employee's terms and conditions of employment.⁸ A hostile environment can be created by anyone (e.g., administrators, faculty members, employees, students, and University visitors) involved in an education program or activity.

In determining whether Sexual Misconduct has created a hostile environment, the University considers the conduct in question from both a subjective and objective perspective. It will be necessary, but not adequate, that the conduct was unwelcome to the individual who was mistreated. To conclude that conduct created or contributed to a hostile environment, the University must also find that a reasonable person in the individual's position would have perceived the conduct as undesirable or offensive.

To ultimately determine whether a hostile environment exists for an individual or individuals, the University may consider a variety of factors related to the severity, persistence, or pervasiveness of the Sexual Misconduct, including: (1) the type, frequency,

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- (2) the nature of the relationship; and
 - (3) the frequency and type of interaction between the persons involved in the relationship.
- (c) A casual acquaintanceship or ordinary fraternization in a business or social context does not constitute a "dating relationship" under Subsection (b).

Texas Penal Code, Section 22.01 provides the criminal penalties associated with Dating Violence.

⁷ Family Violence is defined by the Texas Family Code Section 71.004 as:

- (1) an act by a member of a family or household against another member of the family or household that is intended to result in physical harm, bodily injury, assault, or sexual assault or that is a threat that reasonably places the member in fear of imminent physical harm, bodily injury, assault, or sexual assault, but does not include defensive measures to protect oneself;
- (2) abuse, as that term is defined by Sections [261.001](#)(1)(C), (E), and (G), by a member of a family or household toward a child of the family or household; or
- (3) dating violence, as that term is defined by Section [71.0021](#).

Texas Penal Code Section 22.01 provides the criminal penalties associated with Domestic (Family) Violence.

⁸ Depending on the facts of a particular case, the University may investigate claims of hostile work environment under this Policy, the University's gender discrimination policy, or both. See Department of Education, Office for Civil Rights, January 2001 Revised Sexual Harassment Guidance, page 2.

and duration of the conduct; (2) the identity and relationships of the persons involved; (3) the number of individuals involved; (4) the location of the conduct and the context in which it occurred; and (5) the degree to which the conduct affected an individual's education or employment.

The more severe the Sexual Misconduct, the less need there is to show a repetitive series of incidents to find a hostile environment. Indeed, a single instance of sexual assault may be sufficient to create a hostile environment. Likewise, a series of incidents may be sufficient even if the Sexual Misconduct is not particularly severe.

Incapacitation – Incapacitation is the inability, temporarily or permanently, to give consent because the individual is mentally and/or physically helpless, either voluntarily or involuntarily, or the individual is unconscious, asleep, or otherwise unaware that the sexual activity is occurring. An individual may be incapacitated if they are unaware at the time of the incident of where they are, how they got there, or why or how they became engaged in a sexual interaction.

When alcohol is involved, incapacitation is a state beyond drunkenness or intoxication. When drug use is involved, incapacitation is a state beyond being under the influence or impaired by use of the drug. Alcohol and other drugs impact each individual differently, and determining whether an individual is incapacitated requires an individualized determination.

After establishing that a person is in fact incapacitated, the University asks two questions:

- (1) Did the person initiating sexual activity know that the other party was incapacitated? and if not,
- (2) Should a sober, reasonable person in the same situation have known that the other party was incapacitated?

If the answer to either of these questions is "yes," consent was absent and the conduct is likely a violation of this Policy.

A Respondent will be found to have violated policy only if the Respondent knew or should have known that the person was incapacitated.

Intimidation – Unlawfully placing another person in reasonable fear of bodily harm through the use of threatening words and/or other conduct, but without displaying a weapon or subjecting the victim to actual physical attack.

Other Inappropriate Sexual Conduct – Conduct on the basis of sex that does not meet the definition of "sexual harassment" under this Policy, but is

- 1) If verbal conduct (including through electronic means), unwanted statements of a sexual nature intentionally stated to a person or group of people, that are objectively offensive to a reasonable person and also so severe or pervasive that they created a Hostile Environment, as defined in this Policy. The type of verbal conduct (if all other elements are met) may include:

- a) Unwelcome sexual advances (including explicit or implicit proposition(s) of sexual contact or activity);
 - b) Requests for sexual favors (including overt or subtle pressure);
 - c) Gratuitous comments about an individual's sexual activities or speculation about an individual's sexual experiences;
 - d) Gratuitous comments, jokes, questions, anecdotes or remarks of a sexual nature about clothing or bodies;
 - e) Persistent, unwanted sexual or romantic attention;
 - f) Exposure to sexually suggestive visual displays such as photographs, graffiti, posters, calendars or other materials; or
 - g) Deliberate, repeated humiliation or intimidation.
- 2) If physical conduct, either:
- a) Sexual exploitation, as defined in this Policy;
 - b) Unwelcome intentional touching of a sexual nature;
 - c) Deliberate physical interference with or restriction of movement; or
 - d) Sexual violence as defined in this Policy.

Participants – The term “participants” includes the Complainant, Respondent, and any witnesses.

Parties -- The term “parties” refers to the “Complainant” and the “Respondent” under this Policy

Preponderance of the Evidence – The greater weight of the credible evidence. Preponderance of the evidence is the standard for determining allegations of prohibited conduct under this Policy. This standard is satisfied if the action is deemed more likely to have occurred than not.

Respondent -- The individual who has been reported to be the perpetrator of prohibited conduct under this policy.

Responsible Employee – A University employee who has the duty to report incidents of and information reasonably believed to be Sexual Misconduct to the Title IX Coordinator. All employees, including student employees are Responsible Employees except Confidential Employees. Responsible Employees include all administrators, faculty, staff, residence life directors and advisors, and graduate teaching assistants. Responsible Employees must report all known information concerning the incident to the Title IX Office, and must include whether a Complainant has expressed a desire for confidentiality in reporting the incident.

Retaliation – Any adverse action (including, but is not limited to, intimidation, threats coercion, harassment, or discrimination) threatened or taken against someone *because* the individual has made a report or filed a Formal Complaint, or who has supported, or provided information in connection with a report or Formal Complaint, participated or refused to participate in a Grievance Process under this Policy or engaged in other legally protected activities.

Sex Discrimination – Occurs when an individual is treated less favorably on the basis of that person's sex (including gender), which may also include on the basis of sexual

orientation, gender identity, or expression, pregnancy or pregnancy-related condition, or a sex stereotype. Sexual harassment, as defined in this Policy, is a form of sex discrimination.

Sexual Assault⁹ – An offense that meets the definition of rape, fondling, incest, or statutory rape:

- a) *Rape*: the penetration, no matter how slight, of the vagina or anus with any body part or object, or oral penetration by a sex organ of another person, without the consent of the victim.
- b) *Fondling*: The touching of the private body parts of another person for the purpose of sexual gratification, without the consent of the victim, including instances where the victim is incapable of giving consent because of his/her age or because of his/her temporary or permanent mental incapacity.
- c) *Incest*: Sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law.
- d) *Statutory Rape*: Sexual intercourse with a person who is under the statutory age of consent.¹⁰

Sexual Exploitation – Conduct where an individual takes non-consensual or abusive sexual advantage of another for their own benefit, or to benefit anyone other than the one being exploited. Examples of sexual exploitation include, but are not limited to, engaging in voyeurism; forwarding of pornographic or other sexually inappropriate material by email, text, or other channels to non-consenting students/groups; the intentional removal of a condom or other contraceptive barrier during sexual activity without the consent of a sexual partner; and any activity that goes beyond the boundaries of consent, such as recording of sexual activity, letting others watch consensual sex, or knowingly transmitting a sexually transmitted disease (STD) to another.

Sexual Harassment – Conduct on the basis of sex that satisfies one or more of the following:

- a) *Quid pro quo*: An employee of the institution conditioning the provision of an aid, benefit, or service of the University on an individual's participation in unwelcome sexual conduct;
- b) Unwelcome conduct determined by a reasonable person to be so severe, pervasive, and objectively offensive that it effectively denies a person equal access to the University's education program or activity; or
- c) "Sexual assault," "dating violence," "domestic violence," or "stalking" as defined in this Policy.

⁹11 Sexual Assault is defined by Texas Penal Code, Section 22.011 as intentionally or knowingly:

- a) Causing the penetration of the anus or sexual organ of another person by any means, without that person's consent; or
- b) Causing the penetration of the mouth of another person by the sexual organ of the actor, without that person's consent; or
- c) Causing the sexual organ of another person, without that person's consent, to contact or penetrate the mouth, anus, or sexual organ of another person, including the actor.

¹⁰ Texas Penal Code Section 21.11 and 22.011 dictate 17 years old as the statutory age of consent in Texas.

Subsections (a) and (c) in this definition are not evaluated for severity, pervasiveness, offensiveness, or denial of equal educational access, because such conduct is sufficiently serious to deprive a person of equal access. Therefore, any instance of quid pro quo sexual harassment and any instance of sexual assault, dating violence, domestic violence, and stalking are considered Sexual Harassment under this Policy.

Sexual Misconduct – This term is broadly defined to encompass sex discrimination, sexual harassment, sexual assault, domestic violence, dating violence, stalking, and other inappropriate sexual conduct.

Sexual Violence – Physical sexual acts perpetrated against a person's will or where a person is incapable of giving consent. The term includes, but is not limited to, rape, sexual assault, sexual battery, sexual coercion, sexual abuse, and/or indecency with a child.

Stalking¹¹ – Engaging in a course of conduct directed at a specific person that would cause a reasonable person to fear for his or her safety or the safety of others, or suffer substantial emotional distress. For the purposes of this definition--

- a) *Course of conduct* means two or more acts, including, but not limited to, acts in which the stalker directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about a person, or interferes with a person's property.
- b) *Reasonable person* means a reasonable person under similar circumstances and with similar identities to the victim.
- c) *Substantial emotional distress* means significant mental suffering or anguish that may, but does not necessarily, require medical or other professional treatment or counseling.

4. Relevant Federal and State Statutes, and Standards

¹¹ 13Stalking as defined by Texas Penal Code, Section 42.072 is when an individual on more than one occasion and pursuant to the same scheme or course of conduct that is directed specifically at another person, knowingly engages in conduct that:

- a) is considered harassment, or that the actor knows or reasonably should know the other person will regard as threatening:
 - i. bodily injury or death for the other person;
 - ii. bodily injury or death for a member of the other person's family or household or for an individual with whom the other person has a dating relationship; or
 - iii. that an offense will be committed against the other person's property;
- b) causes the other person, a member of the other person's family or household, or an individual with whom the other person has a dating relationship to be placed in fear of bodily injury or death or in fear that an offense will be committed against the other person's property, or to feel harassed, annoyed, alarmed, abused, tormented, embarrassed, or offended; and
- c) would cause a reasonable person to:
 - i. fear bodily injury or death for himself or herself;
 - ii. fear bodily injury or death for a member of the person's family or household or for an individual with whom the person has a dating relationship;
 - iii. fear that an offense will be committed against the person's property; or
 - iv. feel harassed, annoyed, alarmed, abused, tormented, embarrassed, or offended.

[Title IX of the Education Amendments of 1972, 20 U.S.C. §§ 1681–1688 and its implementing regulations, 34 C.F.R. Part 106](#)

[Title VII of the Civil Rights Act of 1964, 42 U.S.C. §§2000e–2000e-17 and its implementing regulations 29 C.F.R. §1604 11.](#)

[Clery Act, 20 U.S.C 1092\(f\) and its implementing regulations 34 C.F.R. Part 668](#)

[FERPA Regulations, 34 C.F.R. Part 99 Texas Education Code, Chapter 51, Subchapter E-2: Reporting Incidents of Sexual Harassment, Sexual Assault, Dating Violence, and Stalking §51.251-51.259](#)

[Texas Education Code, Chapter 51, Subchapter E-3: Sexual Harassment, Sexual Assault, Dating Violence, and Stalking §51.281-51.291](#)

5. Other Relevant Policies, Procedures, and Forms

[Regents' Rules and Regulations, Rule 30105, Sexual Harassment, Sexual Misconduct, and Consensual Relationships](#)

[HOP EI-PO6 Consensual Relationships](#)

[HOP EI-PO4 Non-Discrimination Policy](#)

[HOP SL-SC-PO1 Student Conduct and Discipline](#)

[HOP HR-E-PO3 Discipline and Dismissal of Classified Employees](#)

[Bystander Intervention](#)

Title IX Training Materials

6. University Office(s) Responsible for Policy

Title IX Office

7. Dates Approved or Amended

August 10, 2020

February 17, 2020

December 9, 2015

8. Contact Information

Questions or comments about this Policy should be directed to the Title IX Office at titleix@uta.edu